#### Docket Number **Application Number** 03-6164 10/667,755 Applicants INFORMATION DISCLOSURE Lee Amaitis STATEMENT BY APPLICANT Filing Date Group Art Unit September 22, 2003 3689 U.S. PATENT DOCUMENTS Examiner Cite Document Number Date Name of Patentee Class Sub-Class Filing Date Initials No. 3,573,747 04/06/1971 Adams, et al 4,412,287 10/25/1983 Braddock, III 3,499,646 3/10/1970 Burgess, Jr. et al 5,557,517 9/17/1996 Daugherty, III 5,819,238 10/06/1998 Fernholz 5,905,974 5/18/1999 Fraser, et al. 5,692,233 11/25/1997 Garman 6,029,146 02/22/200 Hawkins, et al. 5,270,922 12/14/1993 Higgins 4,674,044 6/16/1987 Kalmus, et al. Keiser, et al. 5,950,176 9/7/1999 5,101,353 3/31/1992 Lupien, et al. 11/18/1997 Lupien, et al. 5,689,652 5,845,266 12/1/1998 Lupien, et al. 1/4/2000 Lupien, et al. 6,012,046 01/11/2000 Minton 6,014,643 Musmanno, et al. 4,597,046 06/24/1986 Nelson 4,823,265 4/18/1989 3,581,072 05/25/1971 Nymeyer 5,727,165 10/6/1998 Ordish, et, al. Rickard, et al. 6,016,483 01/18/2000 FOREIGN PATENT DOCUMENTS Examiner Cite Foreign Patent Document Date Country Class Sub-Class Translation Initials No. WO 98/58333 6/16/1998 PCT WO 93110503 11/12/1992 PCT WO 96/41315 3/11/1996 | PCT NON PATENT LITERATURE DOCUMENTS Cite Examiner Author, Title, date, pages, volume, publisher, city and/or country where published Initials No. Eric Benderoff; Chicago Tribune; Different Kind of Futures Market; 3 pp., dated February 24, 2007. Howard Wolinsky; Sun-Times News Group; Prediction market rolls with hunches; 1 pg., dated May 15, 2007. Date Considered Examiner Signature

|                      |             |  |                  | <b>Dock</b> 03-6 | set Number<br>5164         |             | Application 10/667,755 |               |             |
|----------------------|-------------|--|------------------|------------------|----------------------------|-------------|------------------------|---------------|-------------|
| INI                  | FORN        | IATION DISCLOSU                          |                  |                  | icants                     |             |                        |               |             |
|                      |             | MENT BY APPLICA                          | NT               |                  | Amaitis                    |             |                        |               |             |
| ~ -                  |             |  |                  |                  | g Date<br>tember 22, 2003  |             | Group Art U<br>3689    | Jnit          |             |
|                      |             |  | U.S. PA          | TE               | NT DOCUMENT                | ΓS          |                        |               |             |
|                      |             |  |                  |                  |                            |             |                        |               | _           |
| Examiner<br>Initials | Cite<br>No. | Document Number                          | Date             |                  | Name of Patentee           |             | Class                  | Sub-Class     | Filing Date |
|                      |             | 5,077,665                                | 12/31/19         | 991              | Silverman, et al.          |             |                        |               |             |
|                      |             | 5,136,501                                | 8/4/199          | 92               | Silverman, et al.          |             |                        |               |             |
|                      |             | 5,924,082                                | 7/13/19          | 99               | Silverman, et al.          |             |                        |               |             |
|                      |             | 5,375,055                                | 12/20/19         | 994              | Togher, et al.             |             |                        |               |             |
|                      |             | 5,297,032                                | 3/22/19          | 94               | Trojan, et al.             |             |                        |               |             |
|                      |             | 4,980,826                                | 12/25/19         | 990              | Wagner                     |             |                        |               |             |
|                      |             | 5,508,913                                | 4/16/19          | 96               | Yamamoto, et al.           |             |                        |               |             |
|                      |             | 4,903,201                                | 2/20/19          | 90               | Wagner                     |             |                        |               |             |
|                      |             | 5,497,317                                | 3/5/199          | 96               | Hawkins, et al.            |             |                        |               |             |
|                      |             | 6,263,321                                | 7/17/20          | 01               | Daughtery, III             |             |                        |               |             |
|                      |             | 5,819,237                                | 10/6/19          | 98               | Garman                     |             |                        |               |             |
|                      |             | 5,724,524                                | 3/10/19          | 98               | Hunt, et al.               |             |                        |               |             |
|                      |             | 6,021,397                                | 02-200           | 00               | Jones, et al.              |             |                        |               |             |
|                      |             | 5,537,314                                | 7/16/19          | 96               | Kanter                     |             |                        |               |             |
|                      |             | 5,508,731                                | 4/16/19          | 96               | Kohorn                     |             |                        |               |             |
|                      |             | 6,026,388                                | 02/15/20         | 000              | Liddy, et al.              |             |                        |               |             |
|                      |             | 5,237,500                                | 8/17/19          | 93               | Perg, et al.               |             |                        |               |             |
|                      |             | 6,443,841                                | 9/3/200          | )2               | Rossides                   |             |                        |               |             |
|                      |             | 5,826,241                                | 10/20/19         | 998              | Stein, et, al              |             |                        |               |             |
|                      |             | 6,578,010                                | 6/10/20          | 03               | Teacherson                 |             |                        |               |             |
|                      |             | F  | OREIGN           | PA'              | TENT DOCUMI                | ENTS        |                        |               |             |
| Examiner<br>Initials | Cite<br>No. | Foreign Patent Document                  | Date             |                  | Country                    |             | Class                  | Sub-Class     | Translation |
|                      |             | WO 01/16825                              | 6/28/20          | 000              | PCT                        |             |                        |               |             |
|                      |             | WO 01/39056                              | 11/23/20         | 000              | PCT                        |             |                        |               |             |
|                      |             | DE 3539545                               | 11/7/19          | 985              | Germany                    |             |                        |               |             |
|                      |             | NON P                                    | PATENT I         | LIT              | ERATURE DOC                | CUMEN       | TS                     |               |             |
| Examiner<br>Initials | Cite<br>No. | Author, Title, date, pages, vol          | lume, publisher, | , city a         | and/or country where publi | ished       |                        |               |             |
|                      |             | Motoko Rich; The Nepp., dated May 21, 20 |                  | es; F            | Publisher to Let the       | Public H    | lave a Vote            | e on Book Pro | ojects; 3   |
|                      |             | The Cambridge-MIT I                      | nstitute; PF     | ROJE             | ECTS, Innovation F         | utures U    | K; 3 pp.               |               |             |
| Examiner             |             | 1  |                  |                  | Dat                        | te Consider | ed                     |               |             |
| Signature            |             |  |                  |                  |                            |             |                        |               |             |

#### Docket Number Application Number 03-6164 10/667,755 Applicants INFORMATION DISCLOSURE Lee Amaitis STATEMENT BY APPLICANT Filing Date **Group Art Unit** September 22, 2003 3689 U.S. PATENT DOCUMENTS Examiner Cite Document Number Date Name of Patentee Class Sub-Class Filing Date Initials No. 5,913,203 6/15/1999 Wong, et al. 2006/0173764 8/3/2006 Costakis et al. 2006/0173761 8/3/2006 Costakis 2004/0243504 12/2/2004 Asher et al. 2002/0062243 5/23/2002 Anderson 2004/0210507 10/21/2004 Asher et al. 2005/0160020 7/21/2005 Asher et al. 2005/0160028 7/21/2005 Asher et al. 2005/0160029 7/21/2005 Asher et al. 2005/0209954 9/22/2005 Asher et al. Ausubel et al. 2005/0102215 5/12/2005 Ballman 2005/0064937 3/24/2005 7,001,279 2/21/2006 Barber et al. 5,108,115 4/28/1992 Berman et al. 2003/0018564 1/23/2003 Bonnier et al. 2006/0293995 12/28/2006 Borgs et al. 2003/0154092 8/14/2003 Bouron et al. Brett et al. 6,023,685 2/8/2000 5,794,219 8/11/1998 Brown 6,470,324 10/22/2002 Brown et al. 6,120,376 9/19/2000 Cherry FOREIGN PATENT DOCUMENTS Examiner Cite Foreign Patent Document Date Country Class Sub-Class Translation Initials No. EP 1 132 836 9/12/2001 **EPO** JP 2002049789 2/15/2002 Japan KR 2001065086 7/11/2001 Korea NON PATENT LITERATURE DOCUMENTS Author, Title, date, pages, volume, publisher, city and/or country where published Examiner Cite Initials No. Ken Miguel; ABC7/KGO-TV/DT; Wisdom of Crowds; ABC7 Futures Market; 2 pp. Emile Servan-Schreiber et al.: NewsFutures Prediction Markets: Presidential Markets: Presidential Reality Check; 6 pp. Date Considered Examiner Signature

#### **Docket Number Application Number** 10/667,755 03-6164 Applicants INFORMATION DISCLOSURE Lee Amaitis STATEMENT BY APPLICANT Filing Date **Group Art Unit** September 22, 2003 3689 U.S. PATENT DOCUMENTS Document Number Name of Patentee Date Class Sub-Class Filing Date Examiner Cite Initials No. 2004/0225554 11/11/2004 Chiappetta et al. 6,939,137 9/6/2005 Colaio et al. 7,162,447 1/9/2007 Cushing 6/10/2004 Del Prado 2004/0110552 Del Prado 2007/0054718 3/8/2007 2005/0049731 3/3/2005 Dell 2003/0018571 1/23/2003 Eckert et al. 1/27/2005 2005/0021441 Flake et al. 4/18/2006 Fraivillig et al. 7,031,938 Gajewski et al. 2002/0120490 8/29/2002 2003/0061096 3/27/2003 Gallivan et al. 2007/0073608 3/29/2007 Garcia Gavriloff 4/16/2002 6,371,855 2006/0259381 11/16/2006 Gershon 2005/0125333 6/9/2005 Giannetti 7,110,961 9/19/2006 Goino 2002/0077880 6/20/2002 Gordon et al. 2006/0105840 5/18/2006 Graeve 2003/0204408 10/30/2003 Guler et al. 2003/0236734 12/25/2003 Guler et al. FOREIGN PATENT DOCUMENTS Examiner Cite Foreign Patent Document Date Country Class Sub-Class Translation Initials No. KR 2001098204 11/8/2001 Korea KR 2002042036 6/5/2002 Korea EP 0 739 526 10/25/1995 **EPO** NON PATENT LITERATURE DOCUMENTS Examiner Cite Author, Title, date, pages, volume, publisher, city and/or country where published Initials No. Incentive Markets, Inc.; Incentive Market Prediction Games; 1 pg. Common Knowledge Markets; 7 pp. **Date Considered** Examiner Signature

#### Docket Number Application Number 03-6164 10/667,755 Applicants INFORMATION DISCLOSURE Lee Amaitis STATEMENT BY APPLICANT Filing Date **Group Art Unit** September 22, 2003 3689 U.S. PATENT DOCUMENTS Examiner Cite Document Number Date Name of Patentee Class Sub-Class Filing Date Initials No. 2004/0199471 10/7/2004 Hardjono 2004/0059646 3/25/2004 Harrington et al. 6,688,978 2/10/2004 Herman 7,146,334 12/5/2006 Hogg et al. 2004/0171381 9/2/2004 Inselberg 4/5/1983 Isaac 4,378,942 2006/0277135 12/7/2006 Jain et al. 2007/0061240 3/15/2007 Jain et al. 2004/0199450 10/7/2004 Johnston et al. Johnston et al. 2004/0199459 10/7/2004 Junkin 5,846,132 12/8/1998 7,006,991 2/28/2006 Keiser et al. 2004/0049447 3/11/2004 Keiser et al. 6,669,565 12/30/2003 Liegey 7,099,839 8/29/2006 Madoff et al. Maksymec et al. 2002/0153656 10/24/2002 2005/0154629 7/14/2005 Matsuda et al. 2007/0060380 3/15/2007 McMonigle et al. 2006/0100006 5/11/2006 Mitchell et al. 2004/0153375 Mukunya et al. 8/5/2004 FOREIGN PATENT DOCUMENTS Examiner Cite Foreign Patent Document Date Country Class Sub-Class Translation Initials No. WO 01/98983 6/21/2000 PCT WO02/12986 8/3/2001 PCT WO 02/46999 11/29/2001 | PCT NON PATENT LITERATURE DOCUMENTS Examiner Cite Author, Title, date, pages, volume, publisher, city and/or country where published Initials No. Net Exchange Expanding the Value of Commerce; White Papers; 4 pp. NewsFutures Prediction Markets; Trading uncertainty for collective wisdom, 4 pp. **Date Considered** Examiner Signature

#### Docket Number **Application Number** 03-6164 10/667,755 Applicants INFORMATION DISCLOSURE Lee Amaitis STATEMENT BY APPLICANT Filing Date **Group Art Unit** September 22, 2003 3689 U.S. PATENT DOCUMENTS Examiner Cite Document Number Date Name of Patentee Class Sub-Class Filing Date Initials No. 2006/0143099 6/29/2006 Partlow et al. 2006/0116950 6/1/2006 Poffenberger et al. 2006/0069635 3/30/2006 Ram et al. 2006/0025208 2/2/2006 Ramsey 7,171,386 1/30/2007 Raykhman 7,152,041 Salavadori et al. 12/19/2006 2002//0068633 Schlaifer 6/6/2002 6,260,024 7/10/2001 Shkedy Soderborg et al. 2005/0160024 7/21/2005 6,598,028 7/22/2003 Sullivan et al. 2002/0026390 2/28/2002 Ulenas et al. Von Kohorn 2001/0003099 6/7/2001 6,240,396 5/29/2001 Walker et al. Walker et al. 2006/0003830 1/5/2006 6,925,446 8/2/2005 Watanabe Wenger et al. 2005/0055302 3/10/2005 7,003,485 2/21/2006 Young 7,076,459 7/11/2006 Zhang et al. 2002/0161622 10/310/02 Zhang et al. Zimmerman Jr., et al. 2003/0177055 9/18/2003 FOREIGN PATENT DOCUMENTS Examiner Cite Foreign Patent Document Date Country Class Sub-Class Translation Initials No. EPO EP 1 363 430 5/14/2002 WO 03/010720 7/24/2002 PCT KR 2004-0062806 7/9/2004 Korea NON PATENT LITERATURE DOCUMENTS Examiner Cite Author, Title, date, pages, volume, publisher, city and/or country where published Initials No. NewsFutures: About the Company, NewsFutures in the News, pg. 2. Inside-Out Markets; Putting market forces to work inside your business, 4 pp. **Date Considered** Examiner Signature

#### Docket Number Application Number 03-6164 10/667,755 Applicants INFORMATION DISCLOSURE Lee Amaitis STATEMENT BY APPLICANT Filing Date **Group Art Unit** September 22, 2003 3689 U.S. PATENT DOCUMENTS Examiner Cite Document Number Date Name of Patentee Class Sub-Class Filing Date Initials No. 6,067,532 5/23/2000 Gebb 6,236,900 5/22/2001 Geiger 2002/0082969 6/27/2002 O'Keeffe et al. 4/17/1990 Hughes et al. 4,918,603 Strachan 6,347,086 2/12/2002 2002/0156715 10/24/2002 Wall et al. Chodak et al. 4,363,489 12/14/1982 6,711,550 3/23/2004 Lewis et al. 2004/0229671 11/18/2004 Stronach et al. 6,347,086 2/12/2002 Strachan 5/1/2201 Geiger 6.263.900 Abernethy 2003/0101128 5/1/2003 2006/0240852 10/26/2006 Al-Sarawi Aschir 2003/0065615 4/3/2003 2003/0036396 2/20/2003 Back, et al. 2002/0112014 8/15/2002 Bennett, et al. 2005/0021445 1/27/2005 Caro 2002/0119793 8/29/2002 Hronek, et al. 2006/0026091 2/2/2006 Keen JR., et al. 10/667,923 9/22/2003 Amaitis, et al. Amaitis, et al. 2004/0193531 9/30/2004 2003/0171980 9/11/2003 Keiser et al. FOREIGN PATENT DOCUMENTS Examiner Cite Foreign Patent Document Date Country Class Sub-Class Translation Initials No. CN 1417731 5/14/2003 China 10/21/2004 WO 2004/090681 A3 PCT NON PATENT LITERATURE DOCUMENTS Cite Examiner Author, Title, date, pages, volume, publisher, city and/or country where published Initials No. Notification of the International Search Report and Written Opinion of the International Searching Authority for International Application No. PCT/US07/09383, mailed November 7, 2007, 8 pp. NetExchange Presents at Energy Venture Fair; Net Exchange presents innovative solutions that address challenges faced by the energy industry; 1 pg., dated June 26, 2001. **Date Considered** Examiner

Signature

#### **Application Number** Docket Number 10/667,755 03-6164 Applicants INFORMATION DISCLOSURE Lee Amaitis STATEMENT BY APPLICANT Filing Date **Group Art Unit** September 22, 2003 3689 U.S. PATENT DOCUMENTS Document Number Name of Patentee Cite Date Class Sub-Class Filing Date Examiner Initials No. 6,505,174 10/7/2003 Keiser, et al. 2006/0143110 6/29/2006 Keiser, et al. 6,088,685 07-2000 Kiron 5,806,048 9/8/1998 Kiron et al. 2002/0099640 7/1/2002 Lange 5,950,177 9/7/1999 Lupien, et al. 2006/0123092 6/1/2006 Madams, et al. 2002/0019792 2/14/2002 Maerz, et al. 4/22/2004 Maerz, et al. 2004/0078314 2004/0059660 3/25/2004 Michael 2006/0085320 4/20/2006 Owens, et al. 2003/0036395 2/20/2003 Proidi 2002/0194097 12/19/2002 Reitz 2006/0080220 4/13/2006 Samuel, et al. 3/31/2005 2005/0070251 Satake, et al. 2007/0174171 7/26/2007 Sheffield 2005/0027583 2/3/2005 Smit, et al. 11/1/2001 Walker et al. 2001/0042785 2004/0117302 6/1/2004 Weichert et al. 7,487,123 Keiser et al. 2/3/2009 NON PATENT LITERATURE DOCUMENTS Examiner Cite Author, Title, date, pages, volume, publisher, city and/or country where published Initials No. News Futures Prediction Markets; Trading uncertainty for collective wisdom, 2 pp., dated December 6, 2001. Robin D. Hanson; IEEE Intelligent Systems, Trends & Controversies, Decision Markets, pp. 16-20, May/June 1999. Peter Bossaerts et al.; European Economic Review; Inducing liquidity in thin financial markets through combined-value trading mechanisms; pp. 1-25. Business Week; The Power of Us, Mass Collaboration on the Internet is shaking up business; 6 pp., dated June 20, 2005. **Date Considered** Examiner Signature

### Docket Number Application Number 03-6164 10/667,755 Applicants INFORMATION DISCLOSURE Lee Amaitis STATEMENT BY APPLICANT Filing Date **Group Art Unit** September 22, 2003 3689 U.S. PATENT DOCUMENTS Examiner Cite Document Number Date Name of Patentee Class Sub-Class Filing Date Initials No. 2005/0267836 12/1/2005 Crosthwaite et al. 2002/0032641 3/14/2002 Mendiola et al. 2004/0088244 5/6/2004 Bartter et al. 2003/0008711 1/9/2003 Corbo 2004/0111358 6/10/2004 Lange et al. 5,573,244 11/12/1996 Mindes 2001/0047291 Garahi et al. 11/29/2001 2003/0212623 11/2003 Aylmer, et. al. 2002/0023037 2/21/2002 White 7,567,935 7/28/2009 Tell et al. 2007/0010314 1/11/2007 Tullev et al. NON PATENT LITERATURE DOCUMENTS Examiner Cite Author, Title, date, pages, volume, publisher, city and/or country where published Initials Nelson, Darin, et al., ('Design Issues in Multimedia Messaging for Next Generation Wireless Systems," pp. 98-103, dated 2001. Cabral de Souza, Geraldo Marcelo, et al., Proceedings of the IASTED International Conference. Communications, Internet, & Information Technology, "A Gateway to Interconnect Corporate and Mobile Telephony Operator Networks for Two-way SMS Messages Interchange," pp. 449-453, dated November 18, 2002. Jack D. Glen, "Trading and information systems in two emerging stock market", East Asian Executive Reports, Dec. 15, 1994, Vol. 16., Iss 12, pages 1-4, dated December 15, 1994. Domowitz, I. et al., "Auctions as algorithms: computerized trade execution and price discovery", Journal of Economic Dynamics and Control, (J-Econ-Dyn-Control-Netherlands), January 1994, Vol. 18, No. 1, pp. 29-60. Notification of Transmittal of the International Search Report and Written Opinion of the International Searching Authority, or the Declaration for International Application No. PCT/US06/28805, mailed December 27, 2006, 6 pp. Notification of the International Search Report for International Application No. PCT/US99/25793, mailed August 25, 2000, 2 pp. Preliminary Examination Report for International Application No. PCT/US99/25793, dated May 17, 2001, 4 pp. **Date Considered** Examiner Signature

| Docket Number<br>03-6164       | Application Number 10/667,755 |
|--------------------------------|-------------------------------|
| Applicants Lee Amaitis         |                               |
| Filing Date September 22, 2003 | Group Art Unit                |

| Examiner<br>Initials | Cite<br>No. | Author, Title, date, pages, volume, publisher, city and/or country where published  |
|----------------------|-------------|---|
|                      |             | Examination Report for European Patent Application No. 99965746.3, mailed November 27, 2007, 7 pp.  |
|                      |             | Internet Printout: "Who We Are", Wall Street Survivor, http://www.wallstreetsurvivor.com/Public/Content/About.aspx, downloaded July 9, 2009, 2 pp.  |
|                      |             | Internet Printout: "What is UMOO", UMOO Financial Entertainment, http://www.umoo.com/about_umoo.aspx, downloaded July 9, 2009, 3 pp.  |
|                      |             | Australian Examiner's Report for Australian Application No. 2006272654, dated June 24, 2009 (3 pages).  |
|                      |             | International Search Report for International Application No. PCT/US04/09798; September 8, 2009 (1 page).   |
|                      |             | "Atlantic International Entertainment, Ltd. (AIEE) Announces Third License Agreement for Internet Casino Extension (ICE) Product with Imperiamedia, Ltd."; PR Newswire; Friday, June 18, 1999; Pages 1 and 2.                                       |
|                      |             | Donnelly, Craig, "Philadelphia Park Cancels Races Again as More Workers Join Strike the Bensalem Track's Three Off-Track Betting Sites Are Still Operating. So is Its Television Show", Philadelphia Inquirer, December 30, 1995, pg. C5, ProQuest. |
|                      |             | "Big Names Raise Stakes in Internet Casinos", Evening Post, August 4, 2001, pg. 12.   |
|                      |             | Jon Kiele; "Caveat Emptor: Understanding the Dynamics of the Futures Market"; Electrical World, Vol. 210, No. 9, September 1996; Pages 1-5.   |
|                      |             | Friel, Dermot, et al., "An Automated Stock Price Delivery System Based on the GSM Short Message Service," IEEE, pp. 1591-1595 including Figure 3, dated 1998.   |
|                      |             | Kilmartin, Liam, et al., Development of an Interactive Voice Response System for a GSM SMS based Share Price Server, DSP UK - Sandown Exhibition Centre, pp. 89-93, dated December 31, 1997.  |
|                      |             | Office Action for U.S. Application Serial No. 09/465,607, mailed December 20, 2000, 5 pp.   |
|                      |             | Office Action for U.S. Application Serial No. 09/465,607, mailed September 12, 2001, 6 pp.  |
|                      |             | Office Action for U.S. Application Serial No. 09/465,607, mailed June 25, 2002, 6 pp.   |
| Examiner             |             | Date Considered   |

Signature

| Docket Number<br>03-6164 | Application Number 10/667,755 |  |  |  |
|--------------------------|-------------------------------|--|--|--|
| Applicants Lee Amaitis   |                               |  |  |  |
| Filing Date              | Group Art Unit                |  |  |  |
| C122 2002                | 2600                          |  |  |  |

### September 22, 2003 NON PATENT LITERATURE DOCUMENTS Examiner Cite Author, Title, date, pages, volume, publisher, city and/or country where published Initials No. Office Action for U.S. Application Serial No. 09/465,607, mailed January 14, 2003, 6 pp. Office Action for U.S. Application Serial No. 09/465,607, mailed June 29, 2004, 6 pp. Office Action for U.S. Application Serial No. 09/465,607, mailed January 6, 2009, 14 pp. Office Action for U.S. Application Serial No. 11/379,171, mailed January 29, 2009, 14 pp. Office Action for U.S. Application Serial No. 11/279,997, mailed January 14, 2009, 15 pp. Examiner Interview Summary for U.S. Application Serial No. 11/279,997, mailed July 9, 2009, 2 pp. Examiner Interview Summary for U.S. Application Serial No. 11/279,997, mailed June 22, 2009, 2 pp. Office Action for U.S. Application Serial No. 10/301,527, mailed October 8, 2003, 6 pp. Office Action for U.S. Application Serial No. 10/301,527, mailed July 28, 2004, 6 pp. Office Action for U.S. Application Serial No. 10/301,527, mailed November 23, 2004, 5 pp. Notice of Allowance for U.S. Application Serial No. 10/301,527, mailed April 25, 2005, 10 pp. Office Action for U.S. Application Serial No. 10/655,409, mailed February 15, 2006, 17 pp. Office Action for U.S. Application Serial No. 10/655,409, mailed January 27, 2005, 14 pp. Office Action for U.S. Application Serial No. 10/655,409, mailed August 11, 2005, 10 pp. Date Considered Examiner

| Page  | 11 | Λf | 15 |
|-------|----|----|----|
| гире. |    | OI | 15 |

| Docket Number<br>03-6164       | Application Number 10/667,755 |
|--------------------------------|-------------------------------|
| Applicants Lee Amaitis         |                               |
| Filing Date September 22, 2003 | Group Art Unit                |

| Examiner<br>Initials | Cite<br>No. | Author, Title, date, pages, volume, publisher, city and/or country where published   |
|----------------------|-------------|--|
|                      |             |  |
|                      |             | Office Action for U.S. Application Serial No. 10/655,409, mailed September 7, 2006, 16 pp.   |
|                      |             | Office Notion for e.e. Application Conditive. 10,000, 100, mailed Coptember 7, 2000, 10 pp.  |
|                      |             | Office Action for U.S. Application Serial No. 10/655,409, mailed September 14, 2007, 22 pp.  |
|                      |             | Office Action for 0.5. Application Senai No. 10/055,409, mailed September 14, 2007, 22 pp.   |
|                      |             | Office Action for LLC Application Covid No. 10/CFF 400, excited for years 00, 0000, 00 and   |
|                      |             | Office Action for U.S. Application Serial No. 10/655,409, mailed January 28, 2009, 22 pp.  |
|                      |             |  |
|                      |             | Office Action for U.S. Application Serial No. 11/351,614, mailed September 19, 2007, 11 pp.  |
|                      |             |  |
|                      |             | Office Action for U.S. Application Serial No. 11/351,614, mailed October 2, 2008, 15 pp.   |
|                      |             |  |
|                      |             | Office Action for U.S. Application Serial No. 09/382,907, mailed September 12, 2000, 11 pp.  |
|                      |             |  |
|                      |             | Office Action for U.S. Application Serial No. 09/382,907, mailed May 29, 2001, 9 pp.   |
|                      |             |  |
|                      |             | Office Action for U.S. Application Serial No. 09/382,907, mailed May 9, 2002, 9 pp.  |
|                      |             |  |
|                      |             | Office Action for U.S. Application Serial No. 09/382,907, mailed April 30, 2003, 8 pp.   |
|                      |             |  |
|                      |             | Notice of Allowance for U.S. Application Serial No. 09/382,907, mailed November 25, 2008, 13 pp.   |
|                      |             |  |
|                      |             | Office Action for U.S. Application Serial No. 11/189,262, mailed January 11, 2008, 23 pp.  |
|                      |             | тельный день и польшений день и польшени |
|                      |             | Office Action for U.S. Application Serial No. 11/189,262, mailed September 30, 2008, 15 pp.  |
|                      |             | Since Action for C.C. Application Centar No. 11/100,202, mailed Ceptember 60, 2000, 10 pp.   |
|                      |             | LISPTO Office Action for Application No. 11/251 614: September 19, 2000 (19 pages)   |
|                      |             | USPTO Office Action for Application No. 11/351,614; September 18, 2009 (12 pages).   |
|                      |             | HODTO Office Asting to Assing the Marketine No. 14/400 ccc. Assing to the Company of the Company |
| Examiner             |             | USPTO Office Action for Application No. 11/189,262; August 21, 2009 (19 pages).  Date Considered   |
| Signature            |             |  |
|                      |             |  |

| Docket Number<br>03-6164       | Application Number 10/667,755 |  |  |
|--------------------------------|-------------------------------|--|--|
| Applicants Lee Amaitis         |                               |  |  |
| Filing Date September 22, 2003 | Group Art Unit<br>3689        |  |  |

| Examiner<br>Initials  | Cite<br>No. | Author, Title, date, pages, volume, publisher, city and/or country where published  |  |  |  |
|-----------------------|-------------|---|--|--|--|
|                       |             |   |  |  |  |
|                       |             | USPTO Advisory Action for Application No. 10/667,923; dated February 28, 2007 (3 pages).  |  |  |  |
|                       |             | USPTO Advisory Action for Application No. 10/667,923; dated April 16, 2008 (3 pages).   |  |  |  |
|                       |             | USPTO Examiner Interview Summary Record for Application No. 10/667,923; dated September 15, 2009 (4 pages).                       |  |  |  |
|                       |             | Office Action for U.S. Application Serial No. 10/822,484, mailed May 6, 2005, 5 pp.   |  |  |  |
|                       |             | Office Action for U.S. Application Serial No. 10/822,484, mailed November 7, 2005, 16 pp.   |  |  |  |
|                       |             | Office Action for U.S. Application Serial No. 10/822,484, mailed May 31, 2006, 19 pp.   |  |  |  |
|                       |             | Office Action for U.S. Application Serial No. 10/822,484, mailed December 1, 2006, 8 pp.  |  |  |  |
|                       |             | Office Action for U.S. Application Serial No. 10/822,484, mailed June 5, 2007, 15 pp.   |  |  |  |
|                       |             | Office Action for U.S. Application Serial No. 10/822,484, mailed November 20, 2007, 15 pp.  |  |  |  |
|                       |             | Office Action for U.S. Application Serial No. 10/822,484, mailed June 17, 2008, 21 pp.  |  |  |  |
|                       |             | Advisory Action for U.S. Application Serial No. 10/822,484, mailed August 14, 2006, 3 pp.   |  |  |  |
|                       |             | Examiner Interview Summary for U.S. Application Serial No. 10/822,484, mailed March 22, 2007, 3 pp.                               |  |  |  |
|                       |             | Examiner Interview Summary for U.S. Application Serial No. 10/822,484, mailed July 10, 2009, 4 pp.                                |  |  |  |
|                       |             | USPTO Notice of Allowance and Examiner Interview Summary Record for Application No. 10/822,484; dated October 1, 2009 (19 pages). |  |  |  |
| Examiner<br>Signature |             | Date Considered   |  |  |  |

| Docket Number<br>03-6164          | Application Number 10/667,755 |  |  |
|-----------------------------------|-------------------------------|--|--|
| Applicants Lee Amaitis            |                               |  |  |
| Filing Date<br>September 22, 2003 | Group Art Unit<br>3689        |  |  |

| Evenine               |             |  |  |  |  |
|-----------------------|-------------|--|--|--|--|
| Examiner<br>Initials  | Cite<br>No. | Author, Title, date, pages, volume, publisher, city and/or country where published   |  |  |  |
|                       |             | Examiner Interview Summary for U.S. Application Serial No. 09/465,607, mailed May 24, 2001 (2 pp.).                              |  |  |  |
|                       |             | Office Action for U.S. Application Serial No. 11/379,171, mailed October 6, 2009 (28 pp.)  |  |  |  |
|                       |             | Advisory Action for U.S. Application Serial No. 09/382,907, mailed September 3, 2003 (3 pp.)                                     |  |  |  |
|                       |             | USPTO Office Action for Application No. 08/620,906; dated May 15, 1998 (14 pp.).   |  |  |  |
|                       |             | USPTO Office Action for Application No. 08/620,906; dated December 1, 1998 (9 pp.).  |  |  |  |
|                       |             | USPTO Office Action for Application No. 08/620,906; dated June 7, 1999 (4 pp.).  |  |  |  |
|                       |             | USPTO Office Action for Application No. 09/184,571; dated June 23, 2000 (13 pp.).  |  |  |  |
|                       |             | USPTO Office Action for Application No. 09/184,571; dated January 23, 2001 (6 pp.).  |  |  |  |
|                       |             | USPTO Office Action for Application No. 09/184,571; dated April 25, 2001 (5 pp.).  |  |  |  |
|                       |             | USPTO Notice of Allowance for Application No. 09/184,571; dated November 4, 2002 (4 pp.).  |  |  |  |
|                       |             | U.S. Provisional Patent Application Serial No. 60/462,176, filed April 11, 2003, in the name of Howard W. Lutnick et al (3 pp.). |  |  |  |
|                       |             | USPTO Office Action for Application No. 10/667,923; dated August 2, 2005 (12 pages).   |  |  |  |
|                       |             | USPTO Office Action for Application No. 10/667,923; dated December 1, 2006 (17 pages).   |  |  |  |
|                       |             | USPTO Office Action for Application No. 10/667,923; dated August 31, 2007 (9 pages).   |  |  |  |
| Examiner<br>Signature |             | Date Considered  |  |  |  |

| Docket Number<br>03-6164 | Application Number 10/667,755 |
|--------------------------|-------------------------------|
| 03-0104                  | 10/007,733                    |
| Applicants               |                               |
| Lee Amaitis              |                               |
| Filing Date              | Group Art Unit                |
| September 22, 2003       | 3680                          |

# NON PATENT LITERATURE DOCUMENTS Examiner Cite Author, Title, date, pages, volume, publisher, city and/or country where published Initials No. USPTO Office Action for Application No. 10/667,923; dated November 4, 2008 (13 pages). USPTO Office Action for Application No. 10/667,923; dated May 15, 2008 (11 pages). USPTO Office Action for Application No. 10/667,923; dated January 28, 2008 (10 pages).

| Examiner<br>Signature |  | Date Considered |  |
|-----------------------|--|-----------------|--|
|                       |  |                 |  |
|                       |  |                 |  |